

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/470,467	12/22/99	MARGOLSKEE	R AP-32225-070

0222/0203

BAKER & BOTTS LLP  
30 ROCKEFELLER PLAZA  
NEW YORK NY 10112

1761

DATE MAILED:

02/03/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

C. Mollard

Customer Service Center  
Initial Patent Examination Division (703) 308-1202



FILE NO. AP32225 070165.0556  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Margolskee and Ming  
Serial No. : 09/470,467  
Filed : December 22, 1999  
For : INHIBITORS OF THE BITTER TASTE RESPONSE

**REQUEST FOR SMALL ENTITY STATUS**

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231

February 17, 2000

Date of Deposit

Lisa B. Kole

Attorney Name

*Lisa B. Kole*  
Signature

35,225

PTO Registration No.

February 17, 2000

Date of Signature

**BOX MISSING PARTS**

Assistant Commissioner for Patents

Washington, D.C. 20231

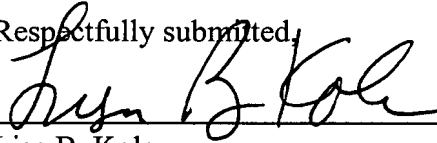
Sir:

Please find enclosed (i) a copy of an assignment by the inventors of the above-identified patent application to the Mount Sinai School of Medicine of New York University and (ii) a Verified Statement Claiming Small Entity Status executed by Mount Sinai School of Medicine as nonprofit organization. Applicants accordingly request that the above-identified application be given small entity status. Moreover, as this request is

FILE NO. AP32225 070165.0556  
PATENT

made within 60 days of the filing date of the application, Applicants request a 50 percent refund of the filing fee (which was \$2236.00), in other words, a refund of \$1118.00.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Lisa B. Kole", is written over a horizontal line.

Lisa B. Kole

Patent Office Reg. No. 35,225  
Attorney for Applicant(s)  
(212) 408-2628

Enclosure

Section 8/



RESPONSE - NOTICE  
OF MISSING PARTS

PATENT

File No. AP32225 070165.0556

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Margolskee and Ming  
Serial No. : 09/470,467  
Filed : December 22, 1999  
For : INHIBITORS OF THE BITTER TASTE RESPONSE

RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION UNDER 37 C.F.R. 1.53(d)

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, DC 20231

Sir:

Responsive to the Notice to File Missing Parts of Application Under 37 C.F.R. 1.53(d), enclosed herewith is a Declaration referring to the above-identified application by one or more of the following in compliance with 37 C.F.R. 1.63:

- ☒ Name of inventor and application serial number;
- ☒ Name of inventor, attorney docket number which was on the application as filed, and filing date of the application;
- ☒ Name of inventor, title of invention and filing date;

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CERTIFICATE OF MAIL

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on February 17, 2000.

Lisa B. Kole

Attorney Name

Signature

35,225

Registration No.

February 17, 2000

Date of Signature

- ☐ Name of inventor, title of invention and reference to a specification which is attached to the oath or declaration at the time of execution and filed with the oath or declaration;
- ☒ Name of inventor, title of invention. As presently advised, the undersigned, a registered attorney, states that the application filed in the PTO is the application which the inventor executed by signing the oath or declaration.

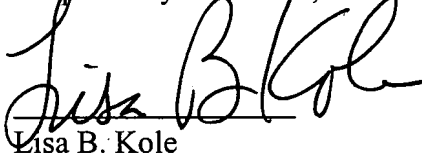
Also enclosed are:

1. ☒ A check in the amount of \$ 65.00  
☐ \$130 - Other than Small Entity  
☒ \$ 65 - Small Entity  
representing payment of the surcharge  
due for late filing of the Declaration  
pursuant to 37 C.F.R. 1.16(e);
2. ☐ An extension of time to file the Response  
to Missing Parts is respectfully requested.  
The required fee, calculated pursuant to  
1.136(a), is enclosed in the amount of \$ \_\_\_\_\_
3. ☐ Other \_\_\_\_\_  
\$ \_\_\_\_\_

TOTAL FEE ENCLOSED \$ 65.00

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication to Deposit Account No. 02-4377. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Lisa B. Kole

Patent Office Reg. No. 35,225  
Attorney for Applicant(s)  
(212) 408-2628